

Introduction to Cryptology

Lecture-08 Secret-Key Ciphers: Block Ciphers: Design Principles and Contemporary Standards

03.05.2023, v54

Block Ciphers

Design Fundamentals and Standards

Outlines

- Basics of Block Ciphers
- Modern Block Ciphers since 1976
- Some Contemporary Standards

Designing block ciphers is a very challenging task.

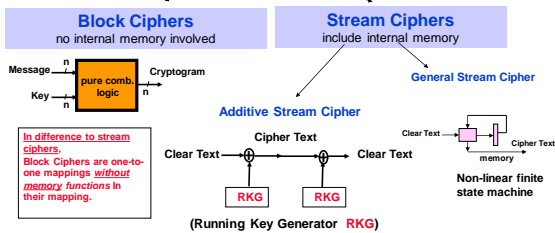
A nice introductory short course:

EIDMA minicourse MC-CIC-7 Design and Analysis of Block Ciphers

by Prof. James L. Massey:

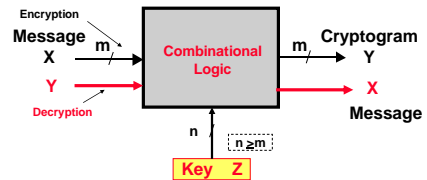
<http://www.win.tue.nl/wsk/eidma/courses/minicourses/massey/MC-CIC-7.html>

Two Major Secret Key Cipher Classes

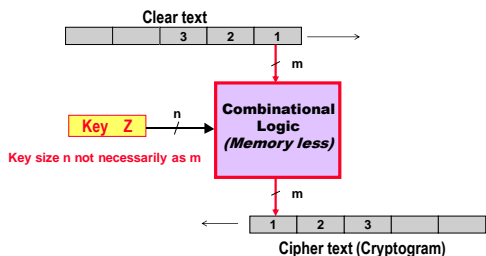


Block-Ciphers

A block cipher is like a code-book: for each input clear text, there is a corresponding unique cipher text for a certain key and vice versa

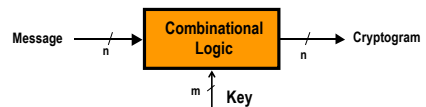


Block-Ciphers on Data Streams



Key Concepts for Block-Ciphers

"Shannon's Design Principles"



Basic Design Requirements: (Shannon *diffusion* and *confusion* principles)

1. If key is not known, there *no identifiable relation* between message, key and cryptogram
2. *Any minor changes* in message or key, result in tremendous changes in the cryptogram
3. No *leakage* of any part of the key or the message bits to the cryptogram
4. *Exhaustive search attack* should be infeasible (example: key size = 100 bits, then $\leq 2^{100}$ search cycles are necessary).

To compete with today's computation technology, *more than 2^{80} search cycles are required. That is, key size for acceptable security level should be at least 80 bits*

Targeted Crypto-Mappings

Bijjective Invertible Function/Mapping:
 It is a mapping which is:
 One-to-One AND Onto at the same time

A function F has an inverse function F^{-1} ,
 if and only if F is one to one

Permutation:
 A **bijjective function** from a set to itself is also called a **permutation** (Domain = Range)

Crypto-Mappings use permutations to keep data size unchanged.
 (domain space is the same as the range space)

Number of permutations: $3! = 3 \times (3-1) \times (3-2) = 6$

In general: $|F| = \text{Number of } n \text{ to } n \text{ permutations} = n!$

6 possible permutations:
 123 to 123
 123 to 132
 123 to 213
 123 to 312
 123 to 321

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Example of all invertible 2-to-2 bit mappings

$n = 2^2 = 4$ possible input combinations

No. Possible invertible mappings = $n! = 2^2! = 4! = 24$

No. of selection bits = $\log_2 24 = 4,58$ bits

Memory Implementation:

implementation complexity in bits = $2 \times 2^2 \times 24 = 192$ bits
 $= 2^{7.58}$ bits

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General Permutations Bounds

Engineering approach:

Mapping as a hardware-block:

A mapping function from t -bits to t -bits keeping the input space=output space (Data size unchanged)

- Domain size = number of possible input combinations = $n = 2^t$
- Number of all possible "F" mappings = 2^{t^2}
- Number of all possible invertible F mappings $S_{max} = 2^{t!}$

Stirling's approximation $S_{max} = 2^{t!} \approx \lfloor 2^{t/e} \rfloor^{2^t}$ or $S_{max} \approx 2^{(t-1.45) 2^t}$

- Number of bits needed to select all S_{max} mappings = $\log_2 S_{max} = \log_2 2^{t!} = t! \approx 2^t$ bits

Example: $t=4$, 4-bit to 4-bit mapping

Number of all possible mappings = $2^{4 \times 4} = 2^{16}$ mappings
 Number of possible invertible mappings = $2^{4!} = 20,922,789,888,000$
 # bits required to select all possible invertible mappings = $\log_2 2^{4!} = 44,25$
 !!! Im plementation complexity is very high !!! = $4 \times 2^4 \times 2^{44.25} = 2^{50.25}$ bits

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Sample bounds for implementing all possible block ciphers

Complexity growth: Engineering approach:

Example: $t=2$, 2-bit to 2-bit mapping

Number of all possible mappings = $2^{2 \times 2} = 2^4$ mappings
 Number of possible invertible mappings = $2^{2!} = 24$
 # bits required to select all possible invertible mappings = $\log_2 2^{2!} = 4,58$
 Memory implementation complexity: $2^{2 \times 2} (2 \times 2^2) = 8 \times 24 = 192$ bits

Example: $t=3$, 3-bit to 3-bit mapping

Number of all possible mappings = $2^{3 \times 3} = 2^{24}$ mappings
 Number of possible invertible mappings = $2^{3!} = 40,320$
 # bits required to select all possible invertible mappings = $\log_2 2^{3!} = 15,29$
 Memory implementation complexity: $2^{3 \times 3} (3 \times 2^3) = 2^{19.87}$ bits

Example: $t=4$, 4-bit to 4-bit mapping

Memory implementation complexity: $\approx 2^{50.25}$ bits (see former page)

Example: $t=5$, 5-bit to 5-bit mapping

Number of all possible mappings = $2^{5 \times 5} = 2^{25}$ mappings
 Number of possible invertible mappings = $2^{5!} = 2,63,10^5$
 # bits required to select all possible invertible mappings = $\log_2 2^{5!} = 117,66$
 Memory implementation complexity: $2^{117.66} (5 \times 2^5) = 2^{124.66}$ bits (not implementable!)

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Implementing all 2-to-2 bit Block-Crypto-Mappings

Implementation complexity in bits:

Example: a full bijjective function from 2 bits to 2 bits

Truth table F_1 :
 00 10
 01 00
 10 11
 11 01

Needs a ROM of 2×2^2 bits
 $2^2! = 24$ such mappings do exist

Total combinational bit complexity for a perfect cipher = $(2^t) \times t \times 2^t$ bits for any t

Approximate total combinational bit complexity for perfect block ciphers for large t 2^t
 $\approx (2^t) \times t \times 2^t$ bits for large t

Selector needs $\log_2 24 = 4,58 = 5$ bits
 Select one out of 24

key: 5 LSB-bits
 IN: 2 MSB-bits

OUT: $F_1: 2 \times 2^2$ bits
 $F_2: 2 \times 2^2$ bits
 \dots
 $F_{24}: 2 \times 2^2$ bits

IN: 2 bits
 OUT: 2 bits

$\log_2 2^5 = 5$ bits

In general:
 Memory complexity = $|F| \times t \times 2^t$ bits
 $= 24 \times 8 = 192$ Bits

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General Perfect/full Block-Crypto-Mappings (BC)

t bits \rightarrow BC \rightarrow t bits

$\approx t \times 2^t$ bits (max key size)

Practically usable secret-key cipher form:

t bits \rightarrow BC \rightarrow t bits

\uparrow K bits secret key

$|BC| = \text{Number of all existing } t\text{-to-}t\text{-bit ciphers} \approx 2^{t^2 - k}$
 with k -bit secret-key size

Example: number of existing ciphers of 64 to 64 bits with 64 bits key size $\approx 2^{270}$ ciphers
 a small fraction of them is known!!!
 The lecture would discuss few of the most known standard ciphers

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Widespread Standard Block Ciphers

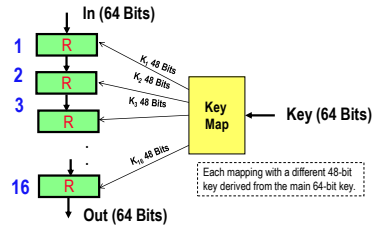
- **DES** : Data Encryption Standard, IBM (NIST) 1976 (USA)
- **IDEA** (J. Massey and Lai) 1990 (Europe)
- **FEAL** NTT 1989 (Japan)
- **KASUMI** 1999 UMTS/3GPP (Mitsubishi Japan)
to replace the broken GSM A5 (Secret Stream Cipher)!

• **AES** Advanced Encryption Standard (NIST):
New international standard **Rijndael** Belgium (Oct. 2000)

Many other ciphers were proposed

The First Standard Block-Cipher The DES (Data Encryption Standard) Cipher (1976)

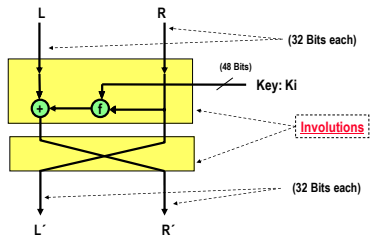
: A mapping function **R** is repeated 16 times, each time with a different key



DES Feistel Round Structure **R** (Lucifer Late 1960)

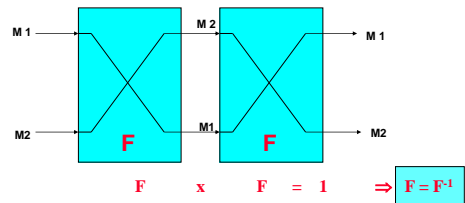
(Horst Feistel, IBM) (1915 in Berlin; † 1990 USA)

(Migration 1934/1944 US citizen) Bsc MIT, Msc Harvard



Involution

An **involution**: is a function that is self-inverting



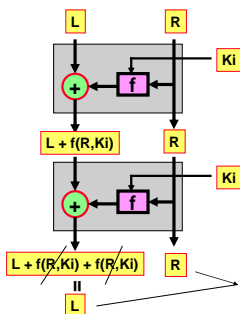
DES Core Involution

Prove of Involution:
Apply the same function two times gives the original input!

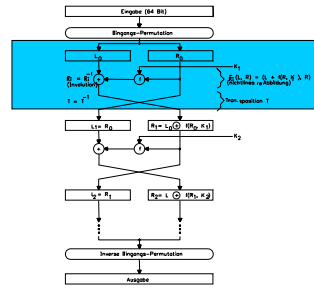
Key function is : $L + f(R, Ki)$

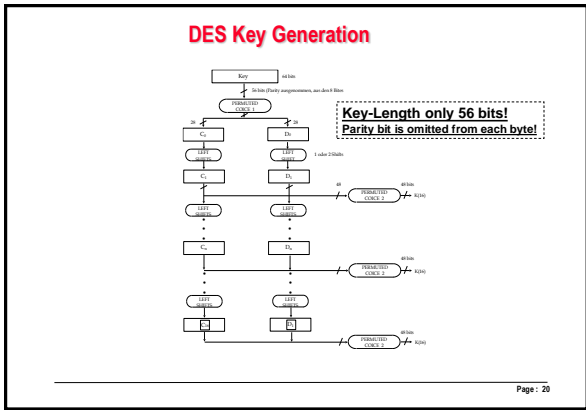
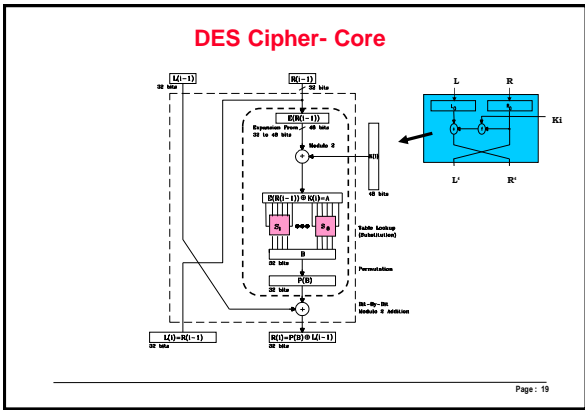
f = highly non-linear function !

Nobody was able since 1976 to break this involution mechanism in DES !



DES Cipher





Simplified Example:

Given the following two mapping functions, **F** and transposition are to be used as a round operation in a block cipher.

F means that only the first n -LSB bits of the product are taken

Transposition

1. Prove that the given function is an involution
2. Compute the cipher text $Y = R1, L1$ for an input $R=9, L=11$ using two rounds with the keys $K_1=2, K_2=3$. Take $n=4$ bits.
3. Decipher the cryptogram Y

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Solution :

1. **Involution proof**

F means multiply and take only the first n LSB bits of the product

Same as input !
 $F \circ F = 1 \rightarrow F$ is an Involution

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Solution :

2. **Encryption**

Clear text = $(11 \ 9)_{\text{decimal}}$
 $(1011 \ 1001)_{\text{binary}}$

F means multiply and take only the first 4 LSB bits of the product

Cryptogram $Y = 1111 \ 1110$

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Solution :

3. **Decryption**

Cryptogram $Y = 1111 \ 1110$

F means multiply and take only the first 4 LSB bits of the product

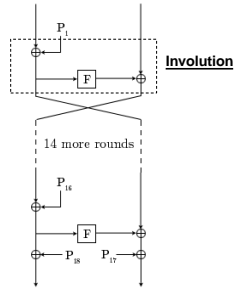
Clear Text = $11 \ 9$

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Blowfish Feistel Based Core Involution

1994

Bruce Schneier

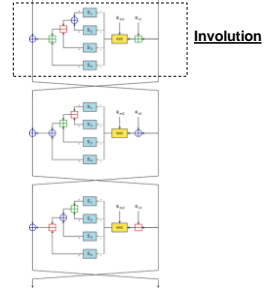


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CAST Feistel based Core Involution

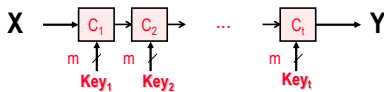
1996

Carlisle Adams
& Stafford Tavares



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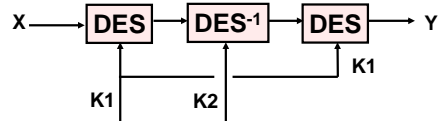
Increasing Security Strength by: Cascading t-Ciphers Or repeating the same cipher t-times



If the same Cipher with key length of m bits is cascaded t times.
The resulting key strength is not $t \cdot m$ bits, But $= (t-1) m$ bits
(due to meet-in-the-middle attack technique)

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Triple-DES is a De Facto Standard in many Systems !!



- 3 times cascaded DES results with a key strength of only $2 \times \text{DES key} = 2 \times 56 = 112$ bits.
- IF $K1=K2$, the result is a single DES.

DES is *still* not broken !!
There is no proof that DES can not be broken !!

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IDEA Cipher

1990 by Massey & Lai (ETH Zürich)

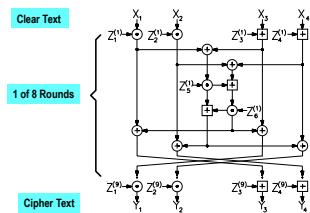
Swiss-Telecom



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IDEA Round structure

Based on group operations



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IDEA Involution

Involution

MA Function

Uses two group operations:

- Multiplicative group: \odot Multiplication modulo $(2^{16} + 1)$
- Additive group: \oplus Addition modulo 2^{16}

Elements form a group under multiplication as the modulus is a prime number and every non-zero element has an inverse.

$(2^{16} + 1)$ is prime!

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KASUMI

3rd Generation Mobile Cipher is KASUMI Cipher to replace A5/1,2,..

UMTS/3GPP Standard (March 2000)
Original Cipher: Mitsubishi's "MISTY" 1997

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Recursive Structure of MISTY

16 input bits are divided into 7+9 bits

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16 input bits are divided into (7 + 9) bits resulting with two mappings: Mappings S7 and S9

Table of S7 over GF(2⁷)

27	50	51	28	52	10	23	84	21	20	114	110	107	44	102	73
31	36	19	108	56	46	62	74	63	16	64	95	37	81	28	4
11	70	32	13	123	53	68	66	43	30	60	20	75	121	21	111
14	80	54	119	10	100	82	48	10	108	58	2	7	68	41	
25	18	101	47	46	57	8	104	9	120	42	76	100	60	117	61
89	72	3	87	124	79	69	60	29	33	94	30	108	110	77	50
1	100	10	90	124	110	30	3	38	118	6	40	40	122	127	87
80	34	17	6	21	22	62	78	113	62	105	67	62	50	62	125

Table of S9 over GF(2⁹)

491	288	320	410	483	232	2871	53	263	188	279	491	207	8	40	211
185	230	55	132	235	266	433	473	163	286	85	44	29	418	305	280
231	238	699	15	40	124	620	273	312	288	98	127	200	500	27	
1	197	248	418	368	409	28	208	125	209	150	490	397	501	244	414
402	271	483	236	402	238	89	445	13	203	103	225	176	260	271	303
221	284	192	249	210	537	297	232	259	184	349	239	430	23	113	12
71	68	127	430	282	297	132	368	413	184	71	214	164	108	36	
217	47	207	38	48	213	412	283	107	249	205	380	288	408		
481	281	381	43	38	288	146	47	330	314	284	56	373	453	123	287
101	168	123	330	8	7	281	183	36	212	222	205	228	426	40	
205	144	412	449	40	405	309	362	374	225	485	262	197	386	478	423
156	676	54	238	484	248	147	75	174	428	505	173	228	11	94	180
229	492	372	62	315	433	142	454	174	16	148	425	78	242	209	133
232	280	100	307	11	136	241	163	312	209	108	248	464	488	3	334
18	182	19	210	210	88	8	1	38	207	287	288	128	208	208	
327	50	375	461	127	353	421	107	158	436	204	14	206	20	232	4
4	281	483	493	57	47	471	32	105	180	102	243	106	52	481	118
202	37	166	401	254	19	242	47	428	270	475	192	287	472	245	442
203	182	278	427	117	238	484	448	44	247	73	164	46	241	164	
14	468	116	77	278	134	133	179	368	191	230	261	191	447	282	365
216	107	302	482	264	453	111	22	74	113	191	241	490	180		
482	62	378	46	388	388	212	287	238	454	412	105	205	241	169	482
321	83	305	320	52	241	282	417	408	213	284	431	97	322	342	478
174	281	170	152	273	238	60	123	14	126	63	305	378	476	40	302
469	62	487	487	428	68	56	20	177	203	171	181	93	388	456	469
24	278	100	287	192	256	403	248	246	3	84	434	448	274	174	
218	422	288	21	8	225	411	166	67	136	80	351	488	289	115	282
188	184	281	231	101	215	161	160	160	84	405	76	63	134	80	63
418	401	188	18	18	441	244	247	247	11	12	123	133	177	24	64
448	183	285	432	432	203	243	82	228	91	473	214	452	174	135	58
453	203	320	71	232	102	177	231	211	106	281	163	163	164	47	192
120	0	172	272	358	292	244	142	234	113	109	278	348	76	40	

$x \rightarrow A \rightarrow y$

Mappings S7 and S9 generated by:

$Y = A(x)$ in GF(2⁷) and GF(2⁹)

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Structure of KASUMI

A slightly modified version of MISTY

Fig. 1 KASUMI

Fig. 2 S9 Function

Fig. 3 S7 Function

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AES

Advanced Encryption Standard

International Standard competition managed by NIST:
US National Institute of Science and Technology
1998-2001
Proposed 2001/2002 for 3G Mobile Authentication Functions

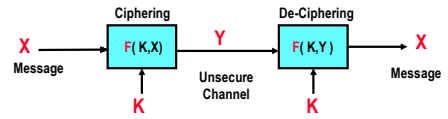
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History

- A Standard Managed by the US National Institute of Science and Technology NIST
 - DES (1976) is an aging standard
 - Triple-DES: considered by NIST as a defacto-standard
- AES: Advanced Encryption Standard: finalized in 2001
 - Goal: define the Federal Information Processing Standard (FIPS)
 - AES candidate algorithms have to be:
 - Symmetric-key ciphers supporting 128, 192, and 256 bit keys
 - Royalty-Free and unclassified (i.e. public domain)
 - Available for worldwide export

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Basic Secret Key Cipher Requirement



Fundamental requirement: Given the function F , it's infeasible to recover data X from ciphertext Y without knowing the key K

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AES Round-3 Finalist Algorithms (finalized in 2001)

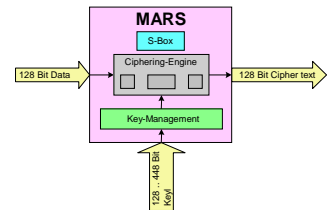
- **MARS** : IBM (USA)
- **RC6** : R. Rivest (MIT), creator of the widely used RC4 (USA)
- **Twofish** : Counterpane Internet Security, Inc. (USA)
- **Serpent** : Ross Anderson, Eli Biham and Lars Knudsen (USA)
- **Rijndael**: Designed by J. Daemen and V. Rijmen (Belgium)

Joan Daemen (of Proton World International)
Vincent Rijmen (of Katholieke Universiteit Leuven).

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MARS (IBM) (AES Candidate: a round 3 finalist)

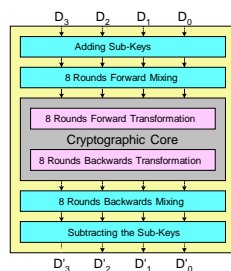
- IBM Candidate for AES
 - Block-Cipher
 - Optimized for 32 bit processors
 - All operations are 32 bits based.



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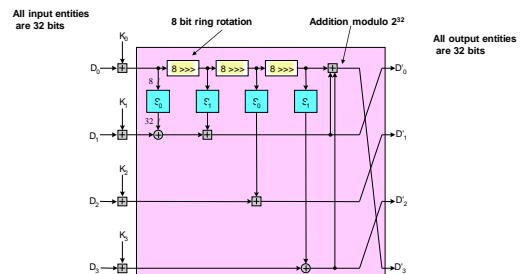
MARS

- MARS Ciphering process
 - Forward / Backwards Mixing
 - Forward / Backwards Transformation

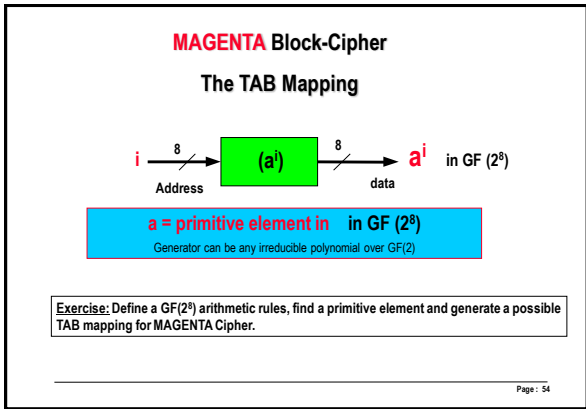
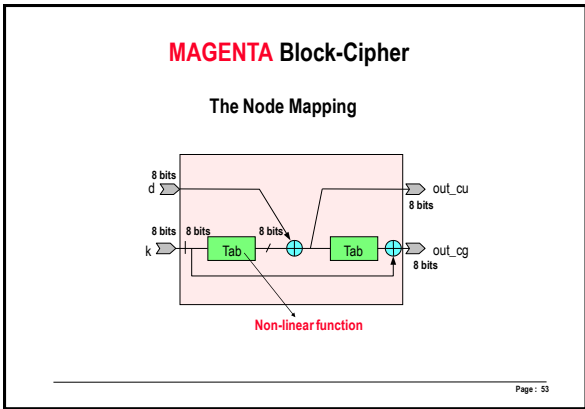
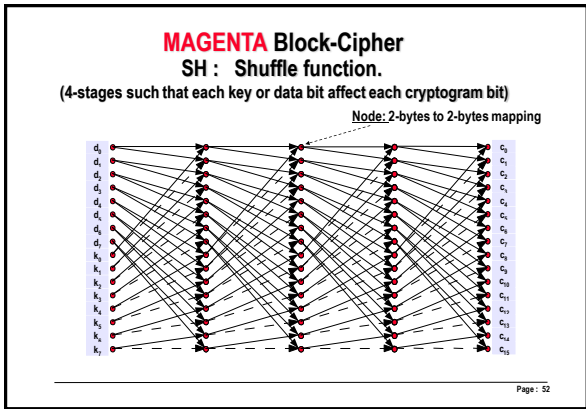
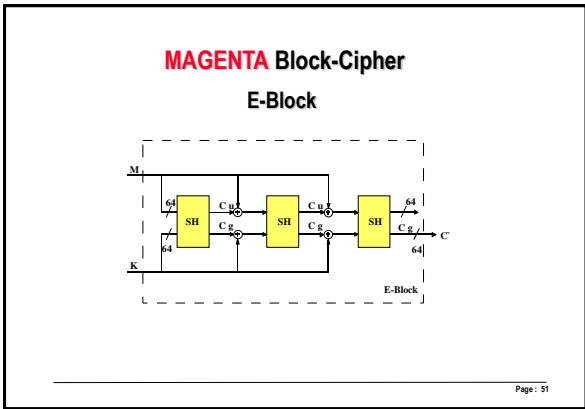
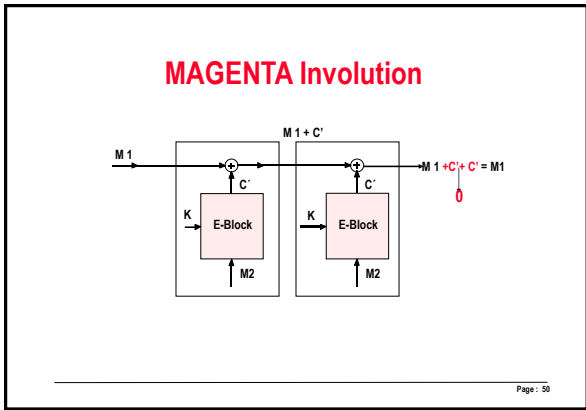
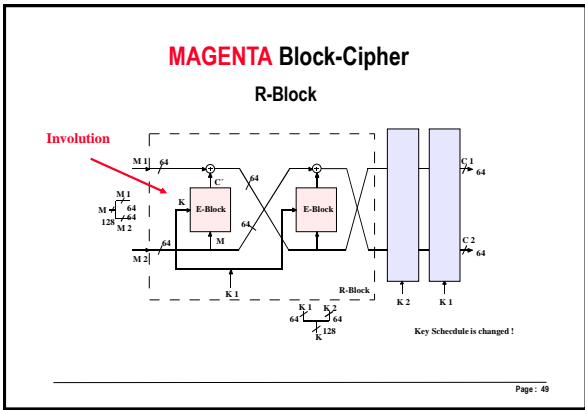


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Forward Mixing



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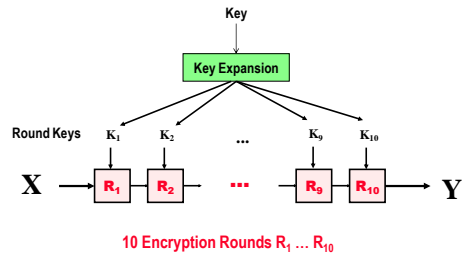
The AES Competition Winner Algorithm (Decision Oct. 2000)

The Rijndael Block Cipher

- By **Joan Daemen** (of Proton World International) and Vincent **Rijmen** (of Katholieke Universiteit Leuven).
- (pronounced "Rhine-doll")
- Allows **only 128, 192, and 256-bit** key sizes (unlike the other candidates)
- **Variable block length** of 128, 192, or 256 bits. All nine combinations of key/block length possible.
 - A block is the smallest data size the algorithm will encrypt
- **speed improvement** over DES

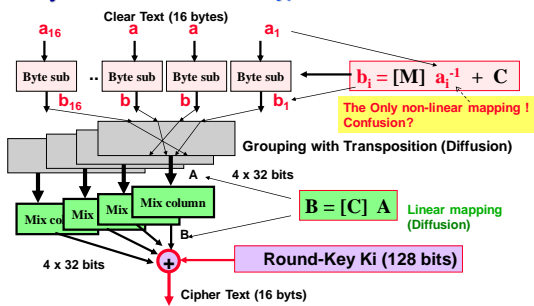
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Rijndael: Basic concept



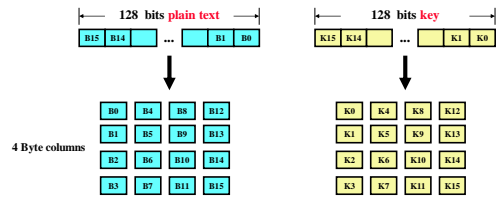
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Rijndael AES: Basic Encryption Round Functions



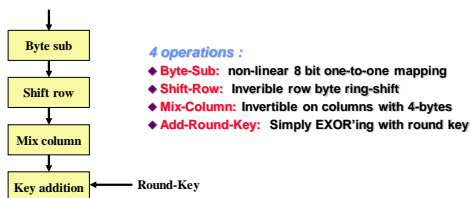
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Rijndael: Data Format for 128-Bit Blocks



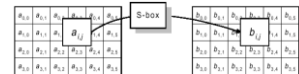
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Rijndael: Encryption Round transformation



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Rijndael: ByteSub



Each byte at the input of a round undergoes a **non-linear byte substitution** according to the following transform:

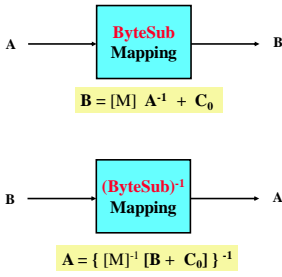
$$\begin{bmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \\ y_6 \\ y_7 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \\ x_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Non-singular matrix (Invertible matrix)

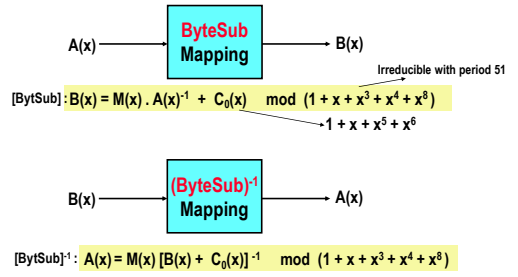
$$b = [M] a^{-1} + C$$

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Rijndael: ByteSub and its inverse ByteSub⁻¹



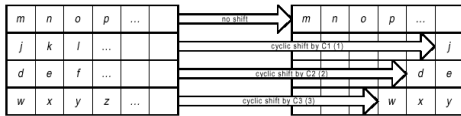
Rijndael: ByteSub and its inverse ByteSub⁻¹



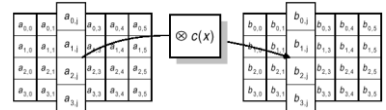
Rijndael: ShiftRow

nb	1	2	3
4	1	2	3
6	1	2	3
8	1	3	4

Depending on the block length, each "row" of the block is cyclically shifted according to the above table



Rijndael: MixColumn



Each 4 byte column is multiplied by a fixed polynomial

$$C(x) = (03) \cdot X^3 + (01) \cdot X^2 + (01) X + (02)$$

This corresponds to matrix multiplication $b(x) = c(x) \otimes a(x)$:

$$\begin{bmatrix} b_{0,0} \\ b_{1,0} \\ b_{2,0} \\ b_{3,0} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \otimes \begin{bmatrix} a_{0,0} \\ a_{1,0} \\ a_{2,0} \\ a_{3,0} \end{bmatrix}$$

$B = [C] A$
 GF(2⁸) elements: 8 bits each
 Non-singular matrix (invertible matrix) Elements in HEX Format

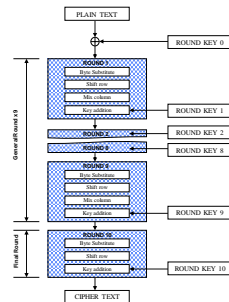
Rijndael: AddRoundKey

$$\begin{bmatrix} A_{0,0} & A_{0,1} & A_{0,2} & A_{0,3} \\ A_{1,0} & A_{1,1} & A_{1,2} & A_{1,3} \\ A_{2,0} & A_{2,1} & A_{2,2} & A_{2,3} \\ A_{3,0} & A_{3,1} & A_{3,2} & A_{3,3} \end{bmatrix} \oplus \begin{bmatrix} K_{0,0} & K_{0,1} & K_{0,2} & K_{0,3} \\ K_{1,0} & K_{1,1} & K_{1,2} & K_{1,3} \\ K_{2,0} & K_{2,1} & K_{2,2} & K_{2,3} \\ K_{3,0} & K_{3,1} & K_{3,2} & K_{3,3} \end{bmatrix} = \begin{bmatrix} B_{0,0} & B_{0,1} & B_{0,2} & B_{0,3} \\ B_{1,0} & B_{1,1} & B_{1,2} & B_{1,3} \\ B_{2,0} & B_{2,1} & B_{2,2} & B_{2,3} \\ B_{3,0} & B_{3,1} & B_{3,2} & B_{3,3} \end{bmatrix}$$

Each word is simply EXOR'ed with the expanded round key

Key Expansion algorithm see next

Rijndael Encryption

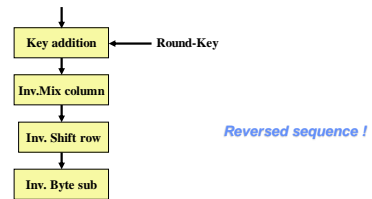


Inverse Rijndael Cipher

- Except for the non-linear ByteSub step, each part of Rijndael has a straightforward inverse and the operations simply need to be undone in the reverse order.
- However, Rijndael was specially written so that the same code that encrypts a block can also decrypt the same block simply by changing certain tables and polynomials for each layer. The rest of the operation remains identical.

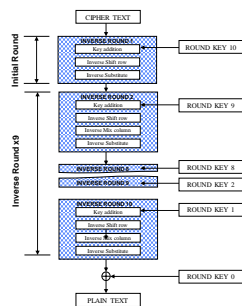
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Rijndael: Decryption Round transformation



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Rijndael Decryption



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Hardware Implementations

- Rijndael performs very well in software
- Multiple S-Box engines, round-key EXORs, and byte shifts can all be implemented in hardware when speed is required
- Small amount of hardware can vastly speed up 8-bit implementations

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Other

Block Ciphers Operation Modes

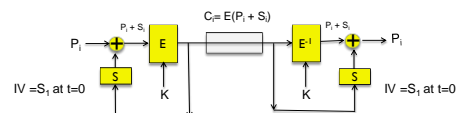
as

Running Key Generators

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CBC Mode

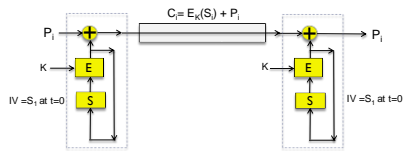
Cipher Block Chaining Mode



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KSG Mode

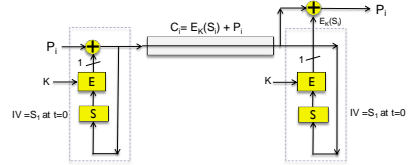
Key Stream Generator Mode



Self-Synchronizing OFB Mode

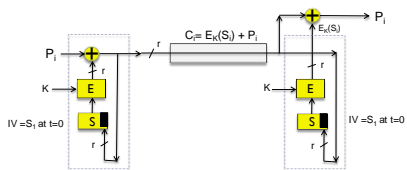
Single-Bit

(OFB: Output Feedback)



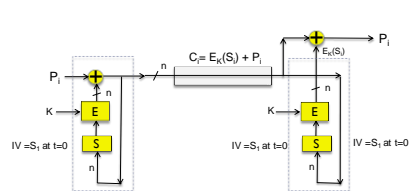
Self-Synchronizing OFB Mode

r-Bits

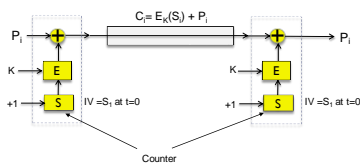


Self-Synchronizing OFB Mode

n-Bits



Counter Mode

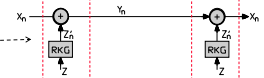


Block Cipher in Self-Synchronizing Mode

Operation Mode 3: in (Cipher Feedback Mode CFB)

Not Self Synchronising Cipher

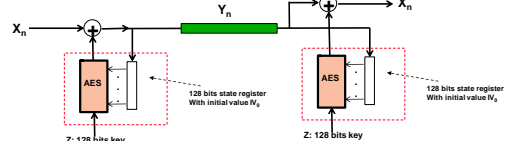
Need to synchronize both generators RKG



Self Synchronising Running key Generator (Cipher Feedback Mode CFB)

Example: Using AES in self-synchronizing mode

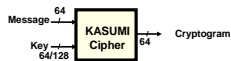
Self-Synchronisation: after communicating L=128 error free bits (when the two state registers become identical)



3rd Generation Mobile Cipher is

KASUMI Cipher to replace A5/1,2,..

Used as key-stream generator in CBC mode



KASUMI (RKG) : Using CBC mode for 3rd Generation Mobile System (3GPP)

